

Section 11

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231

**PATENT**  
Attorney Docket No.: 19930-000200

on August 11, 2000  
By M. Lane Lube

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

STEVEN P. GEORGIS et al.

Application No.: 09/551,256

Filed: April 18, 2000

For: WAVELENGTH MONITOR FOR  
WDM SYSTEMS

Examiner: Unknown

Art Unit: Unknown

TRANSMITTAL LETTER - RESPONSE  
TO NOTICE OF MISSING PARTS

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application - Filing Date  
Granted mailed June 21, 2000, enclosed are the following to be made of record in the above-  
identified application:

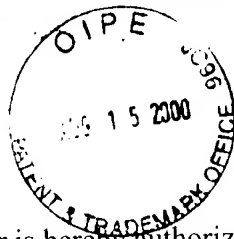
- 1) Declaration and Power of Attorney; and
- 2) Verified Statement (Declaration) Claiming Small Entity Status.

A copy of the Notice to File Missing Parts is also enclosed.

Please charge the filing fee as follows:

Basic Fee	\$345.00
27 claims (7 @ \$9) (independent claims 2)	<u>\$ 63.00</u>
Total Filing Fee	\$408.00
Surcharge/Late Fee	<u>65.00</u>
<b>TOTAL</b>	<b>\$473.00</b>

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PATENT

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

*David Slone*

David N. Slone  
Reg. No. 28,572

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rev. 1/99  
PA 3087748 v1

## FORMALITIES LETTER



\*OC000000005189561\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/551,256	04/18/2000	Steven P. Georgis	19930-000200US

20350  
TOWNSEND AND TOWNSEND AND CREW LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111

Date Mailed: 06/21/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$126.  
    ■ \$126 for 7 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 946.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/17/2000 SDENB01 00000036 201430 09551256

01 FC:201	345.00 CH
02 FC:205	65.00 CH
03 FC:203	63.00 CH